



DT02 Rec'd PCT/PTO

2 JAN 2005

PATENT

H  
BCT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s)	Cowley, et al.	Examiner:	Unassigned
Serial No.:	10/511,794	Group/Art Unit:	Unassigned
Confirmation No:	Unassigned	Docket:	976-20 PCT/US
Filed:	October 19, 2004	Dated:	January 21, 2005
For:	SPECIFIC ANTIBODY FRAGMENTS FOR THE HUMAN CARCINOEMBRYONIC ANTIGEN (CEA)		

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

*I hereby certify this correspondence is being deposited  
with the United States Postal Service as first class mail,  
postpaid in an envelope, addressed to:  
Commissioner for Patents, P.O. Box 1450,  
Alexandria, Virginia 22313-1450  
on January 21, 2005*

Signed: \_\_\_\_\_

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56, Applicants submit herewith the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

**NON-PATENT PUBLICATIONS**

1. Holliger, Philipp, et al., "“Diabodies”: Small bivalent and bispecific antibody fragments”, *Proc. Natl. Acad. Sci. USA* 1993, 90:6444-6448.

2. Kim, Se-Ho, et al., "Characterization of Monoclonal Antibodies Against Carcinoembryonic Antigen (CEA) and Expression in *E. coli*", *Hybridoma* 2001, 20(4):265-272.

The above-referenced documents are listed on Form PTO 1449. We have enclosed the cited documents to facilitate reference to them.

Applicants are not aware of any other references to be identified at this time. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' agent at the telephone number set forth below.

Respectfully submitted,



Edna I. Gergel, Ph.D.  
Registration No.: 50,819  
Agent for Applicant(s)

HOFFMANN & BARON, LLP  
6900 Jericho Turnpike  
Syosset, New York 11791  
(516) 822-3550  
EIG:jlw

199723\_1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Rev. 2-32) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.  
976-20 PCT/US

SERIAL NO.  
10/511,794



INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

Use several sheets if necessary)

APPLICANT  
Cowley, et al.

CONFIRMATION NO.  
Unassigned

FILING DATE  
October 19, 2004

GROUP  
Unassigned

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		1.	Holliger, Philipp, et al., ""Diabodies": Small bivalent and bispecific antibody fragments", <i>Proc. Natl. Acad. Sci. USA</i> 1993, 90:6444-6448.
		2.	Kim, Se-Ho, et al., "Characterization of Monoclonal Antibodies Against Carcinoembryonic Antigen (CEA) and Expression in <i>E. coli</i> ", <i>Hybridoma</i> 2001, 20(4):265-272.

199727\_1

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.